



PATENT
Attorney Docket No. 212635
Client Reference No. 141388.04

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Group Art Unit: 2121

ARORA et al.

Examiner: BARNES, CRYSTAL J.

Application No. 09/641,489

Filed: August 17, 2000

For: AUTOMATION SYSTEM FOR
CONTROLLING AND MONITORING
DEVICES AND SENSORS

CERTIFICATE OF MAILING

I hereby certify that this THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (along with any other documents referred to as being enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope address to Mail Stop, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: 05/25/04

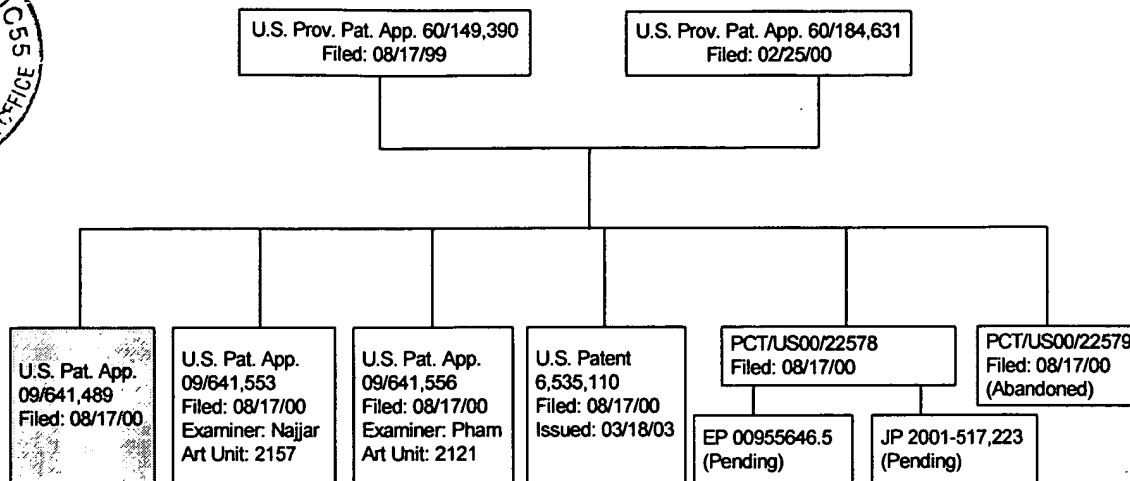
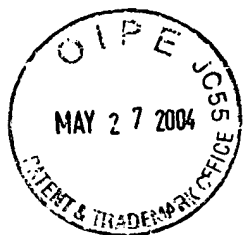
Margaret J. DeGrazia
Signature

THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Pursuant to 37 CFR 1.114, 1.97 and 1.98, applicants request continued examination of the above-identified patent application and submit this Third Supplemental Information Disclosure Statement and the references listed on the enclosed Form PTO-1449 and/or Substitute Form PTO-1449 ("Form 1449") for consideration by the Examiner.

The tree diagram on the following page shows the relationship between the above-identified patent application and the several cases to which it is related.



The full consideration of the references in their entirety by the Examiner is respectfully requested and encouraged. Also, it is respectfully requested that the references be entered into the record of the present application and that the Examiner place her initials in the appropriate area on the enclosed Form 1449, thereby indicating the Examiner's consideration of each of the references.

We have provided, by way of this Third Supplemental Information Disclosure Statement and the previously-submitted information disclosure statements, the relevant references of which we are aware. If there is additional material cited in the record of any of the related applications presented in the diagram above that the Office would like us to provide, please let us know so that we may submit these references to the Office.

The submission of the references listed on the Form 1449 is for the purpose of providing a complete record and is not a concession that the references listed thereon are prior art to the invention claimed in the patent application. The right is expressly reserved to establish an invention date earlier than the above-identified filing date in order to remove any reference submitted herewith as prior art should it be deemed appropriate to do so.

Further, the submission of the references is not to be taken as a concession that any reference represents art that is relevant or analogous to the claimed invention. Accordingly, the right to argue that any reference is not properly within the scope of prior art relevant to an examination of the claims in the above-identified application is also expressly reserved.

The Information Disclosure Statement is being filed:

- ☒ **within** any one of the following time periods: (a) within three months of the filing date of a national application other than a continued prosecution application under 37 CFR 1.53(d); (b) within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 of an international application; (c) before the mailing date of a first Office Action on the merits; or (d) before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.



after (a), (b), (c) or (d) above, but before the mailing date of a final action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an action that otherwise closes prosecution in the application, and includes *one* of:

☐ the Statement under 37 CFR 1.97(e) (see "Statement under 37 CFR 1.97(e)" below).

or

☐ the fee of \$180 set forth in 37 CFR 1.17(p) (see "Fees" below).

☐ **after** the mailing date of a final action under 37 CFR 1.113 or a Notice of Allowance under 37 CFR 1.311, or an action that otherwise closes prosecution in the application, and on or before payment of the issue fee, and includes the Statement under 37 CFR 1.97(e) (see "Statement under 37 CFR 1.97(e)" below), and the fee of \$180 as set forth in 37 CFR 1.17(p) (see "Fees" below).

☐ **after** the mailing date of a Notice of Allowance under 37 CFR 1.311, and on or before payment of the issue fee, and **within** thirty days of receiving each item of information contained in the Information Disclosure Statement, and includes the Statement under 37 CFR 1.704(d) (see "Statement under 37 CFR 1.704(d)" below), and the fee of \$180 as set forth in 37 CFR 1.17(p) (see "Fees" below).

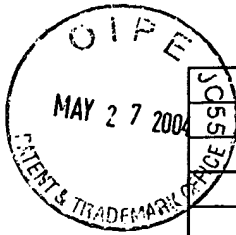
NOTE: This is for original applications except applications for a design patent, filed on or after May 29, 2000, wherein a paper containing only an Information Disclosure Statement in compliance with 37 CFR 1.97 and 1.98 is being filed.

Copies of the References

☒ Copies of the references listed on the enclosed Form 1449 are enclosed herewith. An English-language equivalent/patent, or an English-language abstract, or an English-language version of the search report or action by a foreign patent office in a counterpart foreign application indicating the degree of relevance found by the foreign office is being submitted in lieu of a concise explanation of the relevance of each reference not in the English language pursuant to 37 CFR 1.98(a)(3).

☒ Copies of two foreign search reports are enclosed herewith.

☐ The references listed on the enclosed Form 1449 were previously identified in the parent application(s) of the present application, and copies of the references were furnished at that time. Accordingly, additional copies of the references are not submitted herewith, so as not to burden the file with duplicate copies of references. The Examiner is respectfully requested to carefully review the references in accordance with the requirements set out in the Manual of Patent Examining Procedure. In accordance with 37 CFR 1.98(d), the details of the parent application(s) relied upon for an earlier filing date under 35 USC 120 in which copies of the references were previously furnished are set out below:



U.S. APPLICATIONS		Status (<i>check one</i>)		
U.S. APPLICATIONS	U.S. FILING DATE	PATENTED	PENDING	ABANDONED

Statement under 37 CFR 1.97(e)

- ☐ The **undersigned** hereby states that each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of the Information Disclosure Statement.
- ☐ The **undersigned** hereby states that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

Statement under 37 CFR 1.704(d)

- ☐ The **undersigned** hereby states that each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 CFR 1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.

Fees

- ☒ No fee is owed by the applicant(s).
- ☐ The **IDS Fee of \$180** under 37 CFR 1.17(p) is enclosed herewith.

Method of Payment of Fees

- ☐ Attached is a check in the amount of \$.
- ☐ Charge Deposit Account No. 12-1216 in the amount of \$180.00. (A duplicate copy of this communication is enclosed for that purpose.)

Authorization to Charge Additional Fees

- ☒ If any additional fees are owed in connection with this communication, please charge Deposit Account No. 12-1216. (A duplicate copy of this communication is enclosed for that purpose.)

In re Application of ARORA et al.
Application No. 09/641,489



Instructions as to Overpayment

☒
☐

Credit Account No. 12-1216.
Refund

A handwritten signature in black ink, appearing to read "Richard E. Fontana".

Richard E. Fontana, Reg. No. 52,902
LEYDIG, VOIT & MAYER, LTD.
Two Prudential Plaza, Suite 4900
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Date: May 25, 2004

Please type a plus sign (+) inside this box →



Substitute for form 1449A/B/PTO

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 2

Complete if Known

Application Number 09/641,489
Filing Date August 17, 2000
First Named Inventor ARORA
Group Art Unit 2121
Examiner Name Crystal J. Barnes
Attorney Docket Number 212635
MS 141388.04

U.S. PATENT DOCUMENTS

Examiner Initials	Doc. No.	U.S. Patent Document		Name of Patentee or Applicant	Date of Publication	Filing Date If Appropriate
		Application or Patent Number	Kind Code			
	BH	5506789		Russell et al.	04/09/96	03/10/95
	BI	5699501		Badovinatz et al.	12/16/97	04/30/96
	BJ	5787250		Badovinatz et al.	07/28/98	04/30/96
	BK	5987376		Olson et al.	11/16/99	07/16/97
	BL	6311209	B1	Olson et al.	10/30/01	11/16/99

FOREIGN PATENT DOCUMENTS

Examiner Initials	Doc. No.	Foreign Patent Document			Name of Patentee or Applicant	Date of Publication	Translation	
		Office	Application or Patent Number	Kind Code			Yes	No*+

OTHER - NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Doc. No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number (s), publisher, city and/or country where published.	Translation	
			Yes	No*+
	BM	G. BALLINTJN et al. <i>Exploiting Location Awareness for Scalable Location-Independent Object IDs</i> . Proceedings of the 5th Annual ASCI Conference, pp. 321-328 (1999).		
	BN	K. M. CHANDY et al. <i>Using Announce-Listen with Global Events to Develop Distributed Control Systems</i> . Concurrency: Practice and Experience, vol. 10, no. 11-13, pp. 1021-1027 (Wiley, 1998).		
	BO	<i>The HAVi Specification: Specification for the Home Audio/Video Interoperability (HAVi) Architecture</i> . Version 1.0 beta (November 19, 1998). Page 9, ¶ 2.2.3; page 353, ¶ 10.3; page 354, ¶ 10.5.		
	BP	S. KUMAR and E.H. SPAFFORD. <i>A Pattern Matching Model for Misuse Intrusion Detection</i> . Proceedings of National Computer Security Conference, pp. 11-21 (1994).		
	BQ	P. PAN and H. SCHULZRINNE. <i>Staged Refresh Timers for RSVP</i> . Proceedings of Global Telecommunications Conference (GLOBECOM'97), vol. 3, pp. 1909-1913 (IEEE 1997).		
	BR	P. PAN and H. SCHULZRINNE. <i>YESSIR: A Simple Reservation Mechanism for the Internet</i> . ACM SIGCOMM Computer Communication Review, vol. 29, no. 2, pp. 89-101 (April 1999).		
	BS	H. R. RIS. <i>EIB-Bus -- Europaischer Installationsbus Teil 2</i> . Elektrotechnik, v. 44, no. 10, pp. 61-67 (Vogel, October 1993).		+
	BT	R. VAN RENESSE et al. <i>A Gossip-Style Failure Detection Service</i> . Proceedings of IFIP International Conference on Distributed Systems Platforms and Open Distributed Processing (MIDDLEWARE '98), pp. 55-70 (Springer, 1998).		
	BU	W. VOGELS et al. <i>The Design and Architecture of the Microsoft Cluster Service</i> . Proceedings of the FTCS '98 (IEEE, June 1998).		

Examiner Signature		Date Considered	
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* A concise statement of relevance is being submitted in lieu of a translation. 37 CFR 1.98(a)(3).

+ An English-language equivalent/patent, or an English-language abstract, or an English-language version of the search report or action by a foreign patent office in a counterpart foreign application indicating the degree of relevance found by the foreign office is being submitted in lieu of a concise explanation of relevance under 37 CFR 1.98(a)(3).

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PATENT & TRADE MARK

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Application Number	09/641,489
Filing Date	August 17, 2000
First Named Inventor	ARORA et al.
Group Art Unit	2121
Examiner Name	Crystal J. Barnes

Attorney Docket Number

212635
MS 141388.04

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Date Considered

- * A concise statement of relevance is being submitted in lieu of a translation. 37 CFR 1.98(a)(3).
- + An English-language equivalent/patent, or an English-language abstract, or an English-language version of the search report or action by a foreign patent office in a counterpart foreign application indicating the degree of relevance found by the foreign office is being submitted in lieu of a concise explanation of relevance under 37 CFR 1.98(a)(3).